



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/849,160	05/04/2001	Andre Kramer	CTX-032

CONFIRMATION NO. 9821

021323  
 TESTA, HURWITZ & THIBEAULT, LLP  
 HIGH STREET TOWER  
 125 HIGH STREET  
 BOSTON, MA 02110

## FORMALITIES LETTER



\*OC000000006255846\*

Date Mailed: 07/03/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*MTB*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**



#4

**PATENT**Attorney Docket No. CTX-032  
(1545/70)**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Kramer  
SERIAL NO.: 09/849,160 GROUP NO.: 2122  
FILING DATE: May 4, 2001 EXAMINER: Not Yet Assigned  
TITLE: A System and Method of Partitioning Software Components of a Monolithic Component-based Application Program to Separate Graphical User Interface Elements for Local Execution at a Client System in Conjunction With Remote Execution of the Application Program at a Server System

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attention: Customer Service Center

Sir:

In response to the Notice to File Corrected Application Papers mailed July 3, 2001, enclosed is a copy of the Notice To File Corrected Application Papers and copies of the formal drawings that were filed previously with the PTO on June 19, 2001 in compliance with 37 C.F.R. 1.84.

Respectfully submitted,

Date: July 24, 2001  
Reg. No. 41,274

Michael A. Rodriguez  
Michael A. Rodriguez  
Attorney for Applicant  
Testa, Hurwitz, & Thibeault  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

Tel. No. (617) 248-7501  
Fax: (617) 248-7100

RODRIGUE\1545\70.2141994\_1



SS

03 Co

**PATENT**

Attorney Docket No. CTX-032  
(1545/70)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Kramer

SERIAL NO.: 09/849,160

GROUP NO.: 2122

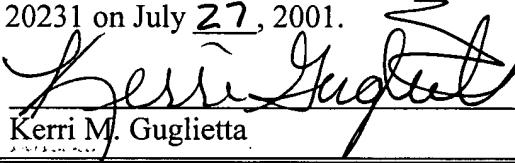
FILING DATE: May 4, 2001

EXAMINER: Not Yet Assigned

TITLE: A System and Method of Partitioning Software Components of a Monolithic Component-based Application Program to Separate Graphical User Interface Elements for Local Execution at a Client System in Conjunction With Remote Execution of the Application Program at a Server System

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Customer Service Center, Assistant Commissioner for Patents, Washington, DC 20231 on July 27, 2001.

  
Kerri M. Guglietta

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attention: Customer Service Center

Sir:

Submitted herewith are:

- (1) Transmittal Form (1 page);
- (2) Response to Notice to File Corrected Application Papers (1 page);
- (3) Copy of Notice to File Corrected Application Papers (1 page);
- (4) Copies of Formal Drawings (15 sheets); and
- (5) Return Receipt Postcard.

RODRIGUE\7565\13.2142014\_1

O I P E

JUL 30 2001

PATENT &amp; TRADEMARK OFFICE

# TRANSMITTAL FORM

Application Serial Number	09/111,160
Filing Date	May 4, 2001
First Named Inventor	Kramer
Group Art Unit	2122
Examiner Name	Not Yet Assigned
Attorney Docket No.	CTX-032
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

## ENCLOSURES (check all that apply)

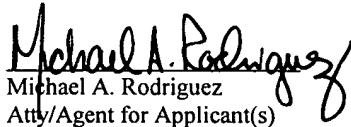
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8
<input type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) 1. Response to Notice to File Corrected Application Papers (1 pg.); 2. Copies of Formal Drawings (15 sheets); and 3. Copy of Notice to File Corrected Application Papers (1 pg.)
<input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Amendment After Allowance	
<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,

  
 Michael A. Rodriguez  
 Atty/Agent for Applicant(s)  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110